

### THE LAW OFFICE OF STEVEN G. ROEDER

Patents, Trademarks and Copyrights

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Bolt	)
Serial No:	10/072,437	) ) Art Unit ) 2166
Filed:	February 5, 2002	) 2100
For:	EMULATED BACKUP TAPE DRIVE USING DATA COMPRESSION	}
Examiner:	Woo, Isaac M.	<b>)</b>
Attorney Docket:	Q02-1032-US1 / 11198.85	<i>)</i>

## TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Transmitted herewith is a Supplemental Information Disclosure Statement (form 1449A/PTO modified) along with the reference and a return receipt postcard. The Supplemental Information Disclosure Statement submitted herewith is being filed within three months of the filing of the above-captioned application, or date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits following filing of an RCE, whichever occurs last. 37 CFR 1.97(b).

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this supplemental information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this supplemental information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

The Commissioner is hereby authorized to charge payment of any necessary fees associated with this communication or credit any overpayment to Deposit Account No. 50-1141.

Respectfully submitted on this the 5<sup>th</sup> of September, 2006.

JAMES P. BRODER Attorney for Applicant Registration No. 43,514

### **CERTIFICATE OF MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this the 5<sup>th</sup> day of September 2006.

JAMES P. BRODER, Attorney for Applicant--Registration No. 43,514

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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Complete if Known				
Application Number	10/072,437			
Filing Date	February 5, 2002			
First Named Inventor	Bolt, Thomas B.			
Art Unit	2166			
Examiner Name	Woo, Isaac M.			
Attorney Docket Number	Q02-1032-US1 / 11198.85			

			U. S. PATENT	T DOCUMENTS		
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 6,341,329	01/22/2002	LeCrone et al.		
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		FORE	<b>IGN PATENT DOCU</b>	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
		EP 1164491	12/19/2001	Numajiri et al.	Paras. 19-23, 55-77	
		EP 0869439	10/07/1998	Chin et al.	Abst. and Fig. 1	
		WO 99/12098	03/11/1999	Gold et al.	Pages 18-19	
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this formation and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (07-06)

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	ite for form 1449/PTO			Complete if Known			
				Application Number	10/072,437		
INF	ORMATION	DIS	CLOSURE	Filing Date	February 5, 2002		
(Use as many sheets as necessary)			PPLICANT	First Named Inventor	Bolt, Thomas B.		
			000000000	Art Unit	2166		
			ecessary)	Examiner Name	Woo, Isaac M.		
Sheet	2	of	2	Attorney Docket Number	Q02-1032-US1 / 11198.85		

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	-	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
		European Search Report for European patent application no. EP 03250749 filed on February 5, 2003, 3 pages.		
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Examiner	 Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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